

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10803623	
	Filing Date		2004-03-17	
	First Named Inventor		Alok SRIVASTAVA	
	Art Unit		2191	
	Examiner Name		Nguyen, Phillip H.	
Attorney Docket Number			OI7030492001	

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	2	COMPAQ "TruCluster Software V1.6 for Tru64 Unix" date unknown, 4 pgs, Compaq Computer Corporation, Houston, TX.	<input type="checkbox"/>
	3	COMPAQ "OpenVMS VAX Version 7.3" Upgrade and Installation Manual, September 2001, Compaq Computer Corporation, Houston, TX.	<input type="checkbox"/>
	4	COMPAQ "Digital Unix TruCluster Software Version 1.5 Technical Resources Kit" September 1998, Title Page, Table of Contents, 24 pgs., Compaq Computer Corporation, Houston, TX.	<input type="checkbox"/>
	5	COMPAQ White Paper "ASU - Becoming a Multi-instance Application in a TruCluster Server Environment", October 2000, 6 pgs., UNIX Software Division, Compaq Computer Corporation, Houston, TX.	<input type="checkbox"/>
	6	COMPAQ "TruCluster Products for Digital Unix" 1997, 6 pgs., Compaq Computer Corporation, Houston, TX.	<input type="checkbox"/>
	7	COOK, J.E. et al. "Highly Reliable Upgrading of Components" Proceedings of the 21st International Conference on Software Engineering (ICSE '99), Los Angeles, CA, May 16-22, 1999, pp. 203-212.	<input type="checkbox"/>
	8	HICKS, M. et al. "Dynamic Software Updating" Proceedings of the ACM SIGPLAN 2001 Conference on Programming Language Design and Implementation (PLDI 2001), Snowbird, UT, June 20-22, 2001, pp. 13-23.	<input type="checkbox"/>
	9	ORACLE "Performing Rolling Upgrades" Oracle Real Application Clusters Guard Installation Guide, Release 3.3.1 for Windows, date unknown, 6 pgs.	<input type="checkbox"/>

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10	ORACLE "Oracle Fail Safe" Installation Guide, January 2001, Oracle Corporation, Redwood Shores, CA.	<input type="checkbox"/>
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- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Peter C. Mei/	Date (YYYY-MM-DD)	2007-07-19
Name/Print	Peter C. Mei	Registration Number	39768

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